

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Thane M. Larson et al.

Confirmation No.:

Application No.:

Examiner:

Filing Date: Herewith

Group Art Unit:

Title: SYSTEM AND METHOD FOR POWER MANAGEMENT IN A SERVER SYSTEM



#2  
IDS  
VMS  
5/13/02

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
☐ a petition under 37 CFR 1.97(d)(2), and  
☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. EL 874 327 038 US

Date of Deposit Aug 7, 2001

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231.

By

Typed Name: Christina Plichta

Respectfully submitted,

Thane M. Larson et al.

By

Jeff A. Holmen

Attorney/Agent for Applicant(s)  
Reg. No. 38,492

Date: Aug 7, 2001

PATENT APPLICATION

Sheet 1 of 2

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
	<b>10012574-1</b>		
	APPLICANT <b>Thane M. Larson et al.</b>		
	FILING DATE	GROUP	
	<b>Herewith</b>		

U.S. PTO  
 09/19/2001  
 08/07/01

**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1A	5,544,006	Aug. 6, 1996	Radloff et al.		
	1B	5,809,262	Sep. 15, 1998	Potter		
	1C	6,046,912	Apr. 4, 2000	Leman		
	1D	6,162,073	Dec. 19, 2000	Haq et al.		
	1E	6,058,011	May 2, 2000	Hardt et al.		
	1F	6,112,271	Aug. 29, 2000	Lanus et al.		
	1G	6,129,591	Oct. 10, 2000	Czeschka		
	1H	6,138,247	Oct. 24, 2000	McKay et al.		
	1I	6,161,197	Dec. 12, 2000	Lanus et al.		
	1J	6,166,902	Dec. 26, 2000	Liu et al.		
	1K	6,185,093	Feb. 6, 2001	Moss		

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	1L							
	1M							
	1N							
	1O							
	1P							

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

1Q	PCI Industrial Computers Manufacturers Group (PCIMG); "CompactPCI Specification Short Form"; September 2, 1997; pp. 1-7.
1R	Ziatech; www.ziatech.com (homepage); "Ziatech, an Intel company, Leaders in CompactPCI Innovation; 2001.
1S	

EXAMINER	DATE CONSIDERED
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## PATENT APPLICATION

Sheet 2 of 2

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10012574-1

APPLICATION NO.

CONFIRMATION NO.

APPLICANT

Thane M. Larson et al.

FILING DATE

Herewith

GROUP



## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	2A	6,185,110	Feb. 6, 2001	Liu		
	2B	6,195,262	Feb. 27, 2001	Bodette et al.		
	2C	6,198,633	Mar. 6, 2001	Lehman et al.		
	2D	6,209,051	Mar. 27, 2001	Hill et al.		
	2E	6,237,048	May 22, 2001	Allen et al.		
	2F					
	2G					
	2H					
	2I					
	2J					
	2K					

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	2L							
	2M							
	2N							
	2O							
	2P							

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	2Q	
	2R	
	2S	

EXAMINER

DATE CONSIDERED